

## **SAMPLE CONTENT FOR Opt Up request Professional Client to Eligible Counterparty.**

Please note that information as displayed in this sample letter is purely of an indicative nature and does not confer any rights.

Please confirm that the following information is incorporated in your opting request:

- Date of request
- Statutory name
- Statutory address,
- Name of Authorized person
- Function of Authorized person
- Name of Rabo Account manager
- any identification issued by Rabo International (e.g. account numbers etc.,)
- current MiFID classification
- requested MiFID classification
- arguments for the opting request
- Signature

Please note that by being opted up from professional client to eligible counterparty we are no longer obliged to provide best execution for execution only services. This implies that you will lose protections belonging to the status as professional client. However, when investment advice is required we will treat you as a professional client with all protections belonging to this status.

For your convenience we provide you hereafter with the content of a sample letter for your opt up request Professional Client to Eligible Counterparty:

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Rabobank Compliance Department  
Attn. [*Name of Rabo Account manager*]  
P.O. Box 17100  
3500 HG Utrecht  
The Netherlands

Subject: Request for Opt Up Professional Client to Eligible Counterparty

Date: [*Date of request*]

Dear Madam, Sir,

With reference to your classification letter dd. [*DATE*] in which we were classified as Professional Client, in accordance with the MiFID, we hereby request to be classified as Eligible Counterparty and treated as such.

[*argument for this opt up request*]

Yours sincerely,

[*Signature*]

[*Name of Authorized person*]

[*Function of Authorized person*]

[*Statutory name*]

[*Statutory address*]

[*any identification issued by Rabo International*]